



विश्वविद्यालय अनुदान आयोग
University Grants Commission

(मानव संसाधन विकास मंत्रालय, भारत सरकार)
(Ministry of Human Resource Development, Govt. of India)

बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002
Bahadur Shah Zafar Marg, New Delhi-110002

No.F.1-1/2018(Journals/CARE)

14th June, 2019

Public Notice on Academic Integrity

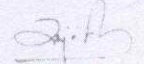
Increased incidence of compromised publication ethics and deteriorating academic integrity is a growing problem contaminating all domains of research. It has been observed that unethical/deceptive practices in publishing are leading to an increased number of dubious/predatory journals worldwide. It has been reported that in India the percentage of research articles published in predatory journals is high. Unethical practices leading to 'pay and publish trash' culture needs to be thwarted immediately.

Research and innovation involve rigorous scientific effort in search of truth, creation of new knowledge contributing to socioeconomic benefits for global good. It is important to ensure prevention of academic misconduct including plagiarism in academic writing among student, faculty, researcher and staff. Responsible conduct of research and safeguarding ethics and academic integrity in scientific research is extremely crucial. Indian academic community need to ensure that the journals/conferences they choose to publish follow standard ethical policies.

For this purpose, the UGC has set up a Consortium for Academic and Research Ethics (CARE) to identify, continuously monitor and maintain 'UGC-CARE Reference List of Quality Journals' across disciplines (henceforth referred as 'UGC-CARE List'). UGC-CARE List is available at the [UGC-CARE website](#), with useful resources as relevant publications, audio-visual materials, videos, weblinks etc. [UGC-CARE website](#) also provides FAQs, Feedback and grievance redressal mechanism.

Through this public notice, Indian academic community is informed that:

1. They must avoid publication in predatory / dubious journals or participation in predatory conferences. It is further advised that they must not get associated (as Editors /Advisors or in any other capacity) with journals / publishers / conferences involved in fraudulent/ dubious / deceptive practices.
2. Any publications in predatory / dubious journals or presentations in predatory / dubious conferences should not be considered for academic credit for selection, confirmation, promotion, performance appraisal, award of scholarship or academic degrees or credits in any form. With immediate effect, research publications only from journals indexed in [UGC-CARE List](#) should be used for all academic purposes.
3. Vice Chancellors, selection committees, research supervisors / guides and such other experts involved in academic evaluation / assessment are hereby advised that they must ensure that their decisions are primarily based on quality of research work and not merely on number of publications.
4. Any attempt of compromised academic integrity should be challenged, questioned and de-recognized at all levels.
5. [UGC \(Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions\) Regulations, 2018](#) and [UGC-CARE website](#) may be referred for more information.
6. [Guidance from Committee on Publication Ethics \(COPE\)](#); [San Francisco Declaration on Research Assessment \(DORA\)](#); the [Leiden Manifesto](#); the [European Code of Conduct for Research Integrity 2017](#) by All European Academies; [Scientific Values: Ethical Guidelines and Procedures](#) by Indian Academy of Science 2018, [Policy Statement on Dissemination and Evaluation of Research Output in India 2018](#) by the Indian National Science Academy and other resources from [UGC-CARE website](#) may also be referred for this purpose.


(Prof. Rajnish Jain)
Secretary



प्रो. रजनीश जैन
सचिव

Prof. Rajnish Jain
Secretary



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बहादुरशाह ज़फ़र मार्ग, नई दिल्ली-110002
Bahadur Shah Zafar Marg, New Delhi-110002

Ph.: 011-23236288/23239337

Fax : 011-2323 8858

E-mail : secy.ugc@nic.in

No. F.1-1/2018 (Journal-CARE)

January 14, 2019

PUBLIC NOTICE

CARE: Reference List of Quality Journals

This Public Notice is in continuation of the one released on 28 November 2018. The University Grants Commission in its 536th meeting held on 14th November, 2018 has established Consortium for Academic and Research Ethics (CARE) for creation and maintenance of "Reference List of Quality Journals" (henceforth named as *CARE List*). CARE members include Statutory Councils/ Academies/ Government bodies in Social Sciences, Humanities, Arts and Fine Arts, Science, Medical, Agriculture, Engineering and the Association of Indian Universities. CARE Members list is available as *Annexure 1*.

UGC Cell for Journal Analysis

Savitribai Phule Pune University (SPPU) has been entrusted with the responsibility of journal analysis and the UGC has established "Cell for Journals Analysis" at SPPU, Pune. INFLIBNET Centre, Ahmedabad, will serve as supporting agency. In addition, to facilitate submission of new journals, the UGC has identified four regional Universities as CARE Universities:

- Jawaharlal Nehru University, New Delhi, (Northern Region)
- Maharaja Sayajirao University of Baroda, Vadodara, (Western Region)
- University of Hyderabad, Hyderabad (Southern Region)
- Tezpur University, Assam (Eastern Region)

UGC Cell at SPPU shall function under the supervision of CARE Empowered Committee(CARE-EC). The composition of CARE-EC is available as *Annexure 2*.

Journal analysis protocol

The UGC Cell at SPPU, Pune shall analyse the existing journals listed on the UGC website as well as all proposals of new journals as per the protocol approved by the CARE-EC. The protocol for journal analysis consists of three sections:

- Basic information (Part I)
- Primary Criteria (Part II)
- Secondary Criteria (Part III)

Part I protocol details are meant for receiving basic information about the journal from a publisher (*Annexure 3*). Part II and III of the protocol are to be used for internal analysis and assessment purpose, which includes due diligence, verification process and critical appraisal using sequential algorithmic elimination process and weightage-based metrics on a scale of 10. The objective assessment of journal quality as per Part II and III of protocol is based on verification of information regarding its history, consistency, peer recognition, market reputation, academic credentials of editors, peer review process, indexing, citations, charges/fees and related financial matters, etc. The information required for journal analysis as per Part II and Part III sections of protocol will be obtained for analysis directly from public domain (website, emails, flyers, advertisements, hard copies of journal in libraries etc). The journal will be disqualified at any stage if found to be giving false / misleading / incorrect / insufficient information or unsubstantiated claims.

Process for adding new journals

New journals may be added to *CARE List* only in following manner:

1. Journals from social sciences, humanities, languages, arts, culture, Indian knowledge systems etc recommended by Statutory Councils/Government bodies in respective disciplines (henceforth named as CARE members)
2. Proposals for inclusion of new Journals submitted by Regional Universities identified by the UGC (henceforth named as CARE Universities)

The CARE members should provide basic information and submit journals to be recommended on CARE portal as per Part I of the protocol. The CARE Universities should submit proposals of new journals on CARE portal in accordance with the Part I and II of the protocol.

Proposals for submission of new journals for consideration of inclusion in *CARE List* shall be done only through CARE Portal to be established by UGC Cell at Pune. CARE portal can be accessed only by CARE Members and CARE Universities for submission of journal proposals. UGC office or UGC Cell at SPPU will not entertain requests for submission of new journals in any other manner.

Publishers who wish to submit their journals for consideration of inclusion in the *CARE List* should contact CARE Universities. The CARE Universities will identify a nodal person to receive proposals from Publishers. Contact details of such nodal persons will be available at the CARE portal. Necessary details regarding submission process will be available on the CARE Portal, which is expected to be functional from 21st January, 2019.



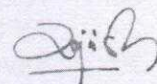
CARE List

The journals submitted by CARE Members and CARE Universities will be analysed by UGC Cell as per Part I, II and III of the protocol. The CARE List will comprise of four groups:

- Group A: Research Journals in disciplines under Science, Engineering, Technology, Agriculture and Biomedical Sciences indexed in SCOPUS and/or Web of Science (WOS). No analysis will be done by the UGC Cell for journals in this group.
- Group B: Journals qualified after analysis as per the protocol from the existing UGC list.
- Group C: Journals from social sciences, humanities, languages and Indian knowledge systems recommended by CARE members, which are qualified as per the protocol.
- Group D: New Journals submitted by CARE Universities which are qualified as per the protocol.

Journals scoring more than 6 out of 10 as per the protocol will be qualified for inclusion in the *CARE List*. The journals scoring between 4-5 will be kept under observation for three years as 'Emerging List', which may be subsequently included in the "*CARE List*", as and when, if they qualify as per the protocol.

The first version of *CARE List* is expected to be published before 31st March 2019, which will replace the existing UGC-approved list of journals. *CARE List* will be dynamic where addition/deletion of journals will happen on a continuous basis. The CARE Portal will have a provision to receive complaints/grievances regarding journal entries in the *CARE List*. Decision regarding inclusion or exclusion of journal/s in *CARE List* shall vest with the CARE-EC, which shall be treated as final.



(Rajnish Jain)

Annexure 1

CARE Members

Consortium for Academic and Research Ethics (CARE) consists of Statutory Councils/ Academies/ Government bodies in Social Sciences, Humanities, Arts and Fine Arts, Science, Medical, Agriculture, Engineering and the Association of Indian Universities. Members of CARE as on January 1, 2019. *

1. Indian Council of Social Science Research (ICSSR)
2. Indian Council of Philosophical Research (ICPR)
3. Indian Council of Historical Research (ICHR)
4. Indian Institute of Advanced Study (IIAS)
5. Central Institute for Indian Languages (CIIL)
6. Indian Council for Cultural Relations (ICCR)
7. Indira Gandhi National Center for Arts (IGNCA)
8. Central Hindi Sansthan, Agra
9. Rashtriya Sanskrit Sansthan, Janak Puri, New Delhi
10. National Council for Promotion for Urdu Language, (NCPUN) New Delhi
11. Sahitya Academy
12. Lalit Kala Academy
13. National Council for Educational Training and Research (NCERT)
14. All India Council for Technical Education (AICTE)
15. Council for Scientific and Industrial Research (CSIR)
16. Indian Council for Medical Research (ICMR)
17. Indian Council of Agricultural Research (ICAR)
18. Central Council for Indian Medicine (CCIM)
19. National Academy of Engineering (NAE)
20. National Academy of Sciences, India (NASI)
21. Indian National Science Academy (INSA)
22. Indian Academy of Sciences (IASc)
23. National Academy of Medical Sciences (NAMS)
24. Association of Indian Universities (AIU)
25. Jawaharlal Nehru University, New Delhi
26. University of Hyderabad, Hyderabad
27. M.S. University of Baroda, Vadodara
28. Tezpur University, Assam
29. INFLIBNET, Gandhinagar, Gujarat
30. Savitribai Phule Pune University, Pune (UGC Cell)

*New members may be added as and when required to ensure representation of specific disciplines.

Annexure 2

CARE Empowered Committee

1.	Prof. Bhushan Patwardhan Vice Chairman, UGC	Chairman
2.	Prof. V.S. Chauhan Former Member, UGC	Member
3.	Prof. Jagadesh Kumar VC, JNU & Commission Member	Member
4.	Prof. Uma C. Vaidya Commission Member	Member
5.	Prof. V.K. Malhotra Member Secretary, ICSSR	Member
6.	Prof. Appa Rao Podile VC, University of Hyderabad	Member
7.	Prof. S.K. Srivastava VC, NEHU, Shillong	Member
8.	Prof. Parimal H Vyas, VC, MS University of Baroda	Member
9.	Prof. V K Jain, Vice Chancellor, Tezpur University	Member
10.	Prof. Pulok Mukherjee Jadavpur University, Kolkata	Member
11.	Prof. Shridhar Gadre Distinguished Professor, SPPU, Pune	Member
12.	Prof. J P S Joorel, Director, INFLIBNET	Member
13.	Dr. Shubhada Nagarkar UGC Cell at SPPU, Pune	Member
14.	Dr. Archana Thakur, Joint Secretary, UGC	Coordinator

Annexure 3

Protocol Approved by CARE-EC (Part I)

CARE Protocol Part I

Basic Information

1. Journal Title
2. Journal Broad Discipline and Focus Subject
3. Name of Publisher
4. Country of Origin and Registered address
5. Journal Language/s
6. Publishing Frequency
7. Editor name, Editorial office address, phone, email and website
8. Current Status (print/online/both)
9. ISSN / eISSN
10. Other registrations/memberships such as RNI / COPE/ CARE

Part II and III of the protocol are meant for analysis and assessment purpose to be used by UGC Cell for Journal Analysis.