

A FRAMEWORK FOR TRANSPARENCY AUDIT

The RTI Act under section 4 provides a comprehensive framework for promoting openness in the functioning of the public authorities.

While Section 4(1) (a) provides a general guideline for record management, so that the information could be easily stored and retained, the sub-sections b, c and d of Section 4 relate to the organizational objects and functions. Sub-sections (b), (c) and (d) of Section 4 of the RTI Act and other related information can be grouped under six categories; namely, 1-organization and function, 2- Budget and programmes, 3- Publicity and public interface, 4- E. governance, 5- Information as prescribed and 6. Information disclosed on own initiative.

1. Organization andFunction

S. No.	Item	Details of disclosure	Particulars
1.1	Particulars of its organization, functions and duties [Section 4(1)(b)(i)]	(i) Name of the Organization and its website	Punjab Engineering College (Deemed To Be University), Chandigarh
		(ii) Head of the organization	Prof. DheerajSanghi
		(iii) Vision, Mission and Key objectives	Available on website of the institute https://pec.ac.in/vision
		(iv) Function and duties	https://pec.ac.in/sites/default/files/uploads/delegation_document_10-12-15.pdf
		(v) Organization Chart	https://pec.ac.in/institute-functionaries
1.2	Power and duties of its officers and employees [Section 4(1) (b)(ii)]	(i) Powers and duties of officers (administrative, financial andjudicial)	https://pec.ac.in/sites/default/files/uploads/delegation_document_10-12-15.pdf
		(ii) Power and duties of other employees	Faculty: Teaching & Research Staff : As assigned by Respective Head/Incharge
		(iii) Rules/ orders under which powers and duty are derived and	https://pec.ac.in/rules-guidelines-forms
		(iv) Exercised	
		(v) Work allocation	

1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]	(i) Process of decision making. Identify key decision making points	Board of Governors https://pec.ac.in/board-of-governors
		(ii) Final decision making authority	
		(iii) Related provisions, acts, rules etc.	https://pec.ac.in/rules-guidelines-forms
		(iv) Time limit for taking a decisions, if any	N. A.
		(v) Channel of supervision and accountability	https://pec.ac.in/institute-functionaries
1.4	Norms for discharge of functions [Section 4(1)(b)(iv)]	(i) Nature of functions/ services offered	https://pec.ac.in/programmes
		(ii) Norms/ standards for functions/ service delivery	https://pec.ac.in/programmes/rules-procedures
		(iii) Process by which these services can be accessed	https://pec.ac.in/admissions
		(iv) Time-limit for achieving the targets	
		(v) Process of redress of grievances	https://pec.ac.in/grievance-redressal-committee
1.5	Rules, regulations, instructions manual and records for discharging functions [Section 4(1)(b)(v)]	(i) Title and nature of the record/ manual /instruction.	
		(ii) List of Rules, regulations, instructions manuals and records.	https://pec.ac.in/rules-guidelines-forms
		(iii) Acts/ Rules manuals etc.	
		(iv) Transfer policy and transfer orders	
1.6	Categories of documents held by the authority under its control [Section 4(1)(b)(vi)]	(i) Categories of documents	All documents related to Faculty are in under the control of the office of the DFA.
		(ii) Custodian of documents/categories	All documents related to other staff are in under the control of the office of the Registrar.
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]	(i) Name of Boards, Council, Committee etc.	
		(ii) Composition	https://pec.ac.in/administration
		(iii) Dates from which constituted	
		(iv) Term/ Tenure	
		(v) Powers and functions	https://pec.ac.in/sites/default/files/uploads/delegation_document_10-12-15.pdf
		(vi) Whether their meetings are open to the public?	No
		(vii) Whether the minutes of the meetings are open to the public?	Yes
		(viii) Place where the minutes if open to the public are available?	https://pec.ac.in/minutes-meetings
1.8	Directory of	(i) Name and designation	https://pec.ac.in/institute-functionaries

	officers and employees [Section 4(1) (b) (ix)]	(ii) Telephone , fax and emailID	https://pec.ac.in/departments
1.9	Monthly Remuneration received by officers & employees including system of compensation [Section 4(1) (b) (x)]	(i) List of employees with Gross monthly remuneration	Faculty: AICTE pay rules Staff: Punjab Civil Services rules
		(ii) System of compensation as provided in its regulations	Professional Development Allowance: https://pec.ac.in/sites/default/files/uploads/pda_guidelines_updated.PDF Consultancy: https://pec.ac.in/norms-and-forms
1.10	Name, designation and other particulars of public information officers [Section 4(1) (b) (xvi)]	(i) Name and designation of the public information officer (PIO), Assistant Public Information (s) & Appellate Authority	https://pec.ac.in/rti CPIO: Dr. Rajesh Kumar Kanda, Mechanical Engineering Department 0172-2753559 kandarajesh@yahoo.co.in
		(ii) Address, telephone numbers and email ID of each designated official.	
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken (Section 4(2))	No. of employees against whom disciplinary action has been	NIL (Recorded in Annexure-I, Point No.1.11)
		(i) Pending for Minor penalty or major penalty proceedings (ii) Finalized for Minor penalty or major penalty proceedings	
1.12	Programmes to advance understanding of RTI (Section 26)	(i) Educational programmes	https://pec.ac.in/rti
		(ii) Efforts to encourage public authority to participate in these programmes	
		(iii) Training of CPIO/APIO	
		(iv) Update & publish guidelines on RTI by the Public Authorities concerned	

2. Budget and Programme

S. No.	Item	Details of disclosure		Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc. [Section 4(1)(b)(xi)]	(i) Total Budget for the public authority : 5225.00 Lacs (ii) Budget for each agency and plan & Programmes Grant in –Aid-General: 2000.00 Lacs Grant-in-Air-Salaries : 225.00 Lacs (iii) Proposed expenditures (iv) Revised budget for each agency, if any Revised budget : 5926.00 Lacs . Grant-in-Aid- General : 2000.00 Lacs Grant-in-Air-Salaries : 3926.00 Lacs (v) Report on disbursements made and place where the related reports are available: Chandigarh Administration.		Partially met
2.2	Foreign and domestic tours during 2019-20	(i) Budget (ii) Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department. a) Places visited b) The period of visit c) The number of members in the official delegation d) Expenditure on the visit	Parity of Ranks not available.	
		(iii) Information related to procurements a) Notice/tender enquires, and corrigenda if any thereon, b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, c) The works contracts concluded – in any such combination of the above- and d) The rate /rates and the total amount at which such procurement	https://pec.ac.in/sites/default/files/uploads/procurement_report_teqip3.pdf	

		or works contract is to be executed.		
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]	(i) Name of the programme of activity	https://pec.ac.in/sites/default/files/uploads/procurement_report_teqip3.pdf	
		(ii) Objective of the programme		
		(iii) Procedure to avail benefits		
		(iv) Duration of the programme/scheme		
		(v) Physical and financial targets of the programme		
		(vi) Nature/ scale of subsidy /amount allotted		
		(vii) Eligibility criteria for grant of subsidy		
		(viii) Details of beneficiaries of subsidy programme (number, profile etc)		
2.4	Discretionary and non-discretionary grants.	(i) Discretionary and non-discretionary grants/ allocations to S	Not Applicable	
		(ii) Annual accounts of all legal entities who are provided grants by public authorities		

2.5	Particulars of recipients	(i) Concessions, permits or authorizations granted by public authority	Not applicable. (As per Annexure-I)	
	of concessions, permits of authorizations granted by the public authority [Section 4(1) (b) (xiii)]	(ii) For each concessions, permit a) Eligibility criteria b) Procedure for permits of authorizations c) Name and address of the recipients given concessions/ permits or authorisations d) Date of award of /permits of authorizations	Annexure-II (Point No.2.5)	
2.6	CAG & PAC paras	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of Administrator, U.T., Chandigarh.	Nil.	

3. Publicity Band Public interface N.A.

S. No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation there of [Section 4(1)(b)(vii)]	Arrangement for consultations with or representation by the members of the public (i) Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens (ii) Arrangements for consultation with or representation by a) Members of the public in policy formulation/ policy implementation b) Day & time allotted for visitors c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants Public- private partnerships (PPP) (i) Details of Special Purpose Vehicle (SPV), if any (ii) Detailed project reports(DPRs) (iii) Concession agreements. (iv) Operation and maintenance manuals (v) Other documents generated as part of the implementation of the PPP (vi) Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorization from the government (vii) Information relating to outputs and outcomes (viii) The process of the selection of the private sector party (concessionaire etc.) (ix) All payment made under the PPP project	NA Through email or through visit to the office between 09:00 A.M. to 05:00 P.M. (Monday – Friday) NA
3.2	Are the details of policies / decisions, which affect public, informed to them [Section 4(1) (c)]	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive; (i) Policy decisions/ legislations taken in the previous one year (ii) Outline the Public consultation process (iii) Outline the arrangement for consultation before formulation of policy.	Detail of policies decisions informed to public through website

3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]	Use of the most effective means of communication (i) Internet(website)	Institute website: https://pec.ac.in
3.4	Form of accessibility of information manual/ handbook [Section 4(1)(b)]	(i) Information manual/handbook available in Electronicformat	1. BOG Minutes https://pec.ac.in/board-of-governors/minutes-bog-meetings 2. Senate Minutes https://pec.ac.in/minutes-senate-meetings 3. MOA https://pec.ac.in/events/moa-signed-between-pec-and-siemens 4. Brochure https://pec.ac.in/prospectus
		(ii) Printedformat	Nil
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]	List of materials available (i) Free of cost	Yes, Available on Institute Website
		(ii) At a reasonable cost of the medium	NA

4. E. Governance

S.No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
4.1	Language in which Information Manual/Handbook Available	(i) English	1. BOG Minutes https://pec.ac.in/board-of-governors/minutes-bog-meetings 2. Senate Minutes https://pec.ac.in/minutes-senate-meetings 3. MOA https://pec.ac.in/events/moa-signed-between-pec-and-siemens 4. Brochure https://pec.ac.in/prospectus
		(ii) Vernacular/ Local Language	NIL
4.2	When was the information Manual/Handbook last updated?	Last date of Annual Update	Regularly updated on website : https://pec.ac.in
4.3	Information available in electronic form [Section 4(1)(b)(xiv)]	(i) Details of information available in electronic form	https://pec.ac.in
		(ii) Name/ title of the document/record/ other information	
		(iii) Location where available	
4.4	Particulars of facilities available to citizen for obtaining information [Section 4(1)(b)(xv)]	(i) Name & location of the facilities	Through email or through visit to office between 9.00hrs to 17.00hrs. From Monday to Friday Phone-2753055
		(ii) Details of information made available	
		(iii) Working hours of the facility	
		(iv) Contact person & contact details (Phone, fax email)	

4.5	Such other information as may be prescribed under section 4(i) (b)(xvii)	(i) Grievance redressal mechanism	https://pec.ac.in/grievance-redressal-committee
		(ii) Details of applications received under RTI and information provided	Annexure –III (Point No.4.5)
		(iii) List of completed schemes/ projects/ Programmes	https://pec.ac.in/research/overview
		(iv) List of schemes/ projects/ programme underway	
		(v) Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	https://pec.ac.in/sites/default/files/uploads/procurement_report_teqip3.pdf
		(vi) Annual Report	https://pec.ac.in/annual-reports
		(vii) Frequently Asked Question (FAQs)	
		(viii) Any other information such as a) Citizen's Charter	N.A
		c) Six monthly reports loaded on the website or not	
		d) Performance against the benchmarks set in the Citizen's Charter	
4.6	Receipt & Disposal of RTI applications & appeals	(i) Details of applications received and disposed	Annexure –III(Point No.4.6.)
		(ii) Details of appeals received and orders issued	
4.7	Replies to questions asked in the parliament, if any. [Section 4(1)(d)(2)]	Details of questions asked and replies given	Annexure-I (Point No.4.7)

5. Information as may be prescribed

S. No.	Item	Details of disclosure		Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
5.1	Such other information as may be prescribed	(i)	Name & details of (a) Current CPIOs & First Appellate Authority (FAAs) Earlier CPIO & First Appellate Authority (FAAs) from 1.1.2015	2015-16 i) -CPIO - Dr. Sanjeev Kumar (upto 30.10.19) ii) FAA-Dr. Sanjeev Sofat-
		(ii)	Details of third party audit of voluntary disclosure	2016-19 1) CPIO-Dr. Sanjeev Kumar 2) FAA Dr. UmaBatra. 2019-20 a) CPIO-Dr. Rajesh Kumar, (wef 1.11.2019) b) FAA- Dr. Siby John, Deputy Director (wef 01.09.2019)
			(a) Dates of audit carried out (b) Report of the audit carried out	Done on 8.8.2019 at https://pec.ac.in/sites/default/files/uploads/audit_report_rti.pdf
		(iii)	Appointment of Nodal Officers not below the rank of Joint Director/ Additional Director	Dr. Rajesh Kumar Associate Prof.
			(a) Date of appointment (b) Name & Designation of the officers	2020-21-Under Process.
		(iv)	Consultancy committee of key stake holders for advice on suo-motu disclosure	N.A.
			(a) Dates from which constituted (b) Name & Designation of the officers	
		(v)	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI	N.A
			(a) Dates from which constituted (b) Name & Designation of the Officers	

6. Information Disclosed on ownInitiative

S. No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information		Mandatory disclosure on website of institute : https://pec.ac.in/rti https://pec.ac.in/sites/default/files/uploads/Amended-MOA-PEC.pdf